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van der Geer, Sarah J.; van Rijn, Phillip V.; Roodenburg, Jan L. N.; Dijkstra, Pieter U.

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Prognostic factors associated with a restricted mouth opening (trismus) in patients with head and neck cancer: Systematic review

Sarah J. van der Geer DMD¹  | Phillip V. van Rijn DMD¹ |
Jan L.N. Roodenburg DMD, PhD¹ | Pieter U. Dijkstra PT, PhD^{1,2}

¹Department of Oral and Maxillofacial Surgery, University of Groningen, University Medical Center Groningen, Groningen, The Netherlands

²Department of Rehabilitation, University of Groningen, University Medical Center Groningen, Groningen, The Netherlands

Correspondence

Sarah J. van der Geer, Department of Oral and Maxillofacial Surgery, University of Groningen, University Medical Center Groningen, Hanzeplein 1, 9713 GZ Groningen, The Netherlands.
Email: s.j.van.der.geer@umcg.nl

Abstract

Background: To prescribe early trismus therapy, prognostic factors influencing the restricted mouth opening should be identified first. Our aim is to present an overview of these factors in patients with head and neck cancer.

Methods: PubMed, Cochrane, EMBASE, and CINAHL were searched using terms related to head and neck cancer and mouth opening. Risk of bias was assessed using the “Quality in Prognosis Studies” tool. A best evidence synthesis was performed.

Results: Of the identified 1418 studies, 53 were included. Three studies contained a prognostic multivariate model for a restricted mouth opening.

Conclusions: Patients with head and neck cancer will most likely develop a restricted mouth opening when they have a large tumor near the masticatory muscles that requires extensive cancer treatment. A restricted mouth opening most likely occurs within 6 months after cancer treatment. Further research is necessary on factors related to healing tendency or pain intensity.

KEYWORDS

head and neck neoplasms, mouth neoplasms, mouth opening, oral, prognosis, surgery

1 | INTRODUCTION

Trismus, a restricted mouth opening, is considered to be one of the three most burdensome side effects after head and neck cancer treatment.^{1–3} Daily activities, such as speaking, eating, and swallowing become more difficult.^{4–6} As a consequence, trismus impacts the quality of life.^{7,8}

In order to prevent or to treat trismus, stretching regimens are often prescribed to increase mouth opening.⁹ In

2016, a systematic review analyzed the effects of various stretching regimens, but none of them was found to be superior.¹⁰ It has been suggested that early initiation of a therapy for trismus results in a greater improvement in mouth opening.¹¹ However, when the effectiveness of an early, preventive stretching regimen was analyzed, no significant difference between the exercise group and control group was found.¹² Not all the patients may have been at risk for trismus, which would have hindered the detection of the effectiveness of the therapy. Moreover, the group of patients not at risk of developing trismus

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was unnecessarily burdened with an intensive stretching regimen.

Thus, factors influencing trismus should be identified so that only the patients at risk for trismus are subjected to therapy. Previous studies examined the factors associated with trismus but the criteria they applied varied (eg, a maximal mouth opening [MMO] of less than 20 mm¹³ or less than 35 mm¹⁴). They used different assessment methods (eg, objective measurement using a millimeter scale^{15,16} or perceived difficulties opening the mouth using questionnaires^{17,18}), or different study populations (eg, patients receiving radiotherapy^{15,19} or chemoradiotherapy^{19,20}). There is no recent systematic review available on prognostic factors for trismus in patients with head and neck cancer in general.

The aim of this systematic review is to identify the prognostic factors for trismus (measured objectively and subjectively) in patients treated for head and neck cancer.

2 | MATERIALS AND METHODS

The protocol for this systematic review is registered in Prospero (Register code: CRD42017071400). The study will be reported according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement.

2.1 | Literature search

Four databases were searched for eligible studies: PubMed, Cochrane, Excerpta medica dataBASE (EMBASE), and Cumulative index to nursing and allied health literature (CINAHL). The search strategy was developed in cooperation with an information specialist and included MesH terms and free text regarding head and neck cancer and mouth opening (Supplementary Information S1). All the databases were searched in November 2017. An update was performed in July 2019.

2.2 | Eligibility criteria

Prospective longitudinal studies were included if at least two measurement moments, regarding objective measurements of trismus (trismus and MMO) or subjective assessments of trismus (perceived difficulties with opening the mouth), were reported. No distinction was made between active or passive mouth opening measurements. Studies of trismus therapies were excluded, unless they reported data on a restricted mouth opening of a control group that did not receive a form of trismus therapy. (Systematic) reviews, in vitro studies, comments, letters to

the editor, and case reports of less than 10 patients were excluded. There were no language or time restrictions. Studies written in languages that could not be understood by the authors were translated. Additionally, a full-text version had to be available in order to be included for further assessment and data extraction.

2.3 | Study selection

After removing any duplicates, the titles and abstracts were assessed for inclusion independently by J.G., P.R., and P.D. The assessors J.G. and P.D. independently assessed the full text versions for inclusion. Any disagreements between them were resolved by discussion. In case no consensus could be reached, a third observer (K.D.) was consulted. Interobserver reliability was measured through Cohen's kappa and percentage of agreement.

Google Scholar, the references of the relevant systematic reviews and the references of the eligible studies were checked by J.G. for studies missed in the database search. When a study was considered eligible, the full text paper was screened and assessed by J.G. and P.D. independently, according to the original protocol.

The studies that only reported descriptive data and did not perform any statistical tests to analyze the influence of factors on trismus, MMO, or perceived difficulties opening the mouth, were excluded.

2.4 | Data extraction

One reviewer (J.G.) extracted all required information from the studies, which included sample size, patient characteristics (age, sex), tumor characteristics (tumor localization, T classification, N classification, tumor stage, histology), treatment characteristics (treatment modality), and method of outcome measurements (number of measurement points reported, follow-up time). Percentage of patients with trismus (based on a cut-off point), difference in means or medians of MMO between two measurements (one measurement after treatment minus measurement before treatment) were recorded. In case of multivariate prognostic models, the estimated effects and 95% confidence intervals were extracted. Data of the univariate analysis or multivariate analysis were extracted in case trismus, mouth opening, difficulties opening the mouth, were analyzed over time.

A second reviewer (P.D.) extracted data from a random sample of eight studies containing only univariate analyses and the three studies containing multivariate analyses. In case any data were missing or needed clarifying, the corresponding authors were contacted by e-mail.

2.5 | Risk of bias assessment

Included studies were assessed by J.G. and P.D. on risk of bias using the “Quality In Prognosis Studies” tool (QUIPS).²¹ This tool is designed to assess the risk of bias in prognostic studies. The tool assesses the following items: study participation, study attrition, prognostic factor measurement, outcome measurement, study confounding, and statistical analysis and reporting. The risk of bias can be scored low, moderate, or high. We added the option “not applicable” which could be chosen in case the studies did not provide adequate information to be able to assess that specific domain. As attrition is commonly high in studies including patients with head and neck cancer due to early decease, we predefined the following criteria: when the study attrition is more than 20%, but no specifications are given, we assessed the study as high risk of bias on the study attrition domain. When the study attrition is more than 20%, but specifications are given, we assessed the study as moderate risk of bias on the study attrition domain. For the statistical analysis and reporting domain, we scored a high risk of bias if the effect of only one factor on restricted mouth opening was analyzed. J.G. and P.D. were authors of two studies. These studies were assessed by two independent assessors, K.D. and B.G., to reduce the risk of assessor bias.

To assess the overall risk of bias of a study, it was recommended to score the overall risk of bias as “low” if at least all, or the most important domains (determined a priori), were rated as having a low risk of bias.²¹ On that basis, we determined the overall risk of bias to be low, if at least five out of six domains were scored with a low risk of bias and the domains “study confounding” and “statistical analysis and reporting” were scored with a low risk of bias. These two domains are of major importance for analyzing the influence of factors on trismus, MMO or perceived difficulties opening the mouth.

In case of disagreement between the reviewers, a consensus meeting was held. If no consensus could be reached, a third reviewer (K.D.) gave a binding verdict.

2.6 | Best evidence synthesis

Due to clinical and methodological heterogeneity between the included studies, we did not perform a meta-analysis. Instead, we performed a best evidence synthesis. Three main domains were taken into account in order to rate the level of evidence: quality, quantity, and consistency.^{22,23} We determined the evidence to be strong if two or more studies (quantity) with an overall low risk of bias (quality) and relatively consistent findings (consistency) of the

analyzed factors across the studies was found. Evidence was determined to be moderate when evidence was provided including one study with an overall low risk of bias and relatively consistent findings of the analyzed factors across the studies. Evidence was determined to be limited when evidence was provided by studies with an overall high risk of bias and relatively consistent findings of the analyzed factors across the studies. Evidence was determined to be limited/moderate when evidence was provided by a study with an overall high risk of bias, but in which a multivariate prognostic model was presented. The evidence was determined to be conflicting in case there were inconsistencies between the findings of the analyzed factors found across the studies.

3 | RESULTS

3.1 | Study selection

The first search resulted in 1703 hits. After duplicate removal, 1199 papers were included for title and abstract assessment (Cohen's kappa: 0.533, agreement 90%). Although 141 were deemed suitable for full text assessment after a consensus meeting (Cohen's kappa: 0.577, agreement 81%), 40 papers were excluded: 37 were abstracts only (eg, conference abstract or poster abstract), one was a review, one was a comment in a forum, and one full-text could not be retrieved. The corresponding author was requested to provide the full text article, but no response was received. A further 59 of the available full text papers were excluded because they did not fulfill the inclusion criteria.

The additional check in Google Scholar and the references of the relevant studies and (systematic) reviews, resulted in 16 other papers.^{5,17,24-37} After reading the full text, two did not meet the inclusion criteria.^{20,24} A total of 56 studies were included for risk of bias assessment and data extraction. During the data extraction process, an additional seven studies were excluded, because no statistical analysis was performed to identify any factors influencing trismus ($n = 4$)^{27,38-40} or because exercises to increase mouth opening had been undertaken ($n = 2$),^{26,41} or because only one measurement moment was reported ($n = 1$)⁴² (Figure 1).

After an update of the search and the removal of duplicates, 203 additional papers were identified. After assessing the titles and abstracts (Cohen's kappa: 0.378, agreement 80%), were included for assessment of the full text (Cohen's kappa: 0.493, agreement 76%). Eventually, four of those studies were included.⁴³⁻⁴⁶

The above procedures resulted in a final selection of 53 studies.^{5,13-20,28-37,43,45-77}

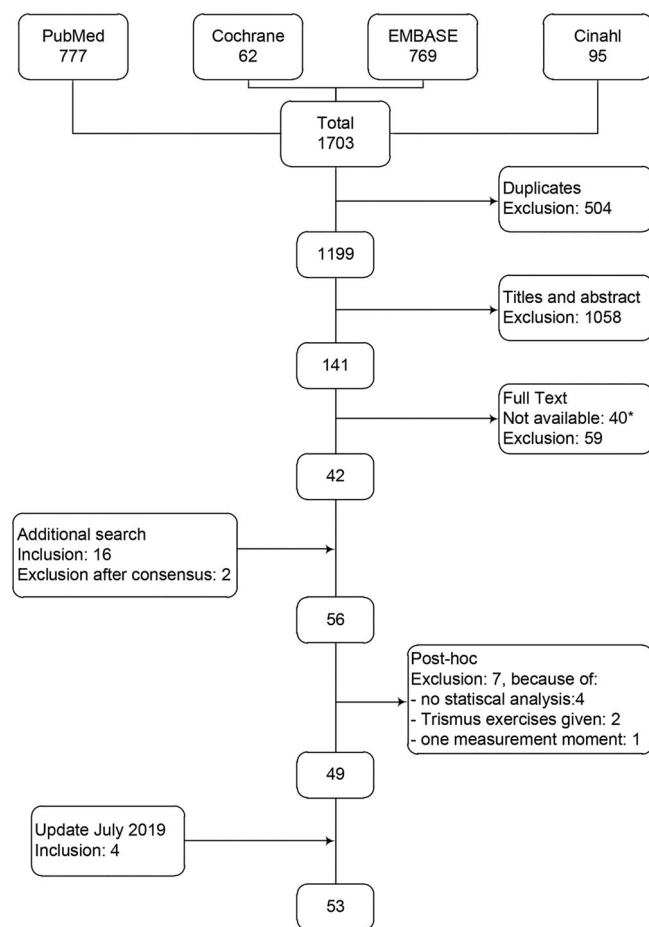


FIGURE 1 Flowchart. *: 40 studies were not available, because 37 were abstracts only (eg, conference abstract or poster abstract), one was a review, one was a comment in a forum, and one full-text could not be retrieved

3.2 | Study characteristics

Sample sizes ranged from 14 to 641 patients (Table 1). The number of measurement moments ranged from 2 to 20. The longest follow-up period was 5 years.

3.3 | Risk of bias assessment

The overall Cohen's kappa bias assessment score was 0.310 (52% agreement). The source or study population was not described (adequately) in the majority of the studies. These studies were scored with "N/A" on the study participation domain ($n = 32$; 60%) (Table 2).^{5,13,16,18,20,28,30,32,36,37,43,45-47,51-58,60,61,64,65,68,69,72,73,75,77} Eleven studies (21%) did not report attrition rate.^{13,19,33,35,51,55,61,70,72,73,77} Some did not report the attrition rate because only the patients with complete data were included. Four studies (8%) were scored with "N/A" on the outcome measurement

domain^{14,16,55,60}: two studies did not describe the measurement method^{55,60} and two studies used a measurement method that has not been validated (extra-oral measurements).^{14,16} The majority of the studies were scored with a high risk of bias concerning the statistical analysis and reporting domain ($n = 42$; 79%) because they lacked a multivariate analysis.^{5,13,14,17,18,20,28-37,43,45-47,51,52,54-58,60-68,70,72-75,77}

3.4 | Distinguishing the outcome measurements

A distinction was made between objective (eg, using a ruler or calliper) or subjective (eg, using a patient's questionnaire) assessments of restricted mouth opening. An additional distinction was made between the objective studies, namely using a restricted mouth opening as a cut-off point ($n = 6$)^{13,14,47-50} or a decrease in MMO measured in millimeters ($n = 16$).^{15,16,43,51-63}

The subjective analyses assessed the perception of a restricted mouth opening either using the European Organization for Research and Treatment for Cancer Quality of Life Questionnaire Head & Neck module- 35 (EORTC QLQ H&N35) ($n = 29$)^{17,18,20,28-37,45,46,64-77} or an addendum similar to the EORTC QLQ H&N35 ($n = 1$)⁵ or Common Terminology Criteria for Adverse Events ($n = 1$).¹⁹ The Gothenburg Trismus Questionnaire ($n = 3$) was used as a secondary endpoint to assess trismus.^{48,49,63}

3.5 | Univariate analyses

In 16 studies, a single prognostic factor for a decrease in MMO and the patients' perception of difficulties with opening the mouth was analyzed over time (Table 3).^{14,16,35,43,46,53-58,62,65,71,72,74} Regarding patient related factors, a significant effect was found in relation to sex (in one study in the period between before and after treatment)¹⁴ and the -509 genotype.⁵⁶ Patients with a homozygous T allele (TT) in the -509 genotype had a greater reduction in MMO than those with a homozygous C allele (CC) or heterozygous C allele (CT). Tumor related factors included large reductions in MMO when the tumor was located near the oral cavity or oropharynx.^{53,54} Less reduction was found in other areas, such as the nasopharynx, hypopharynx, larynx, or lymph drainage areas.^{53,54} No significant effects were found in relation to T classification or N classification.^{14,16,65} Cancer treatment also resulted in a reduction in MMO, with the most occurring after chemoradiotherapy and the least after surgery.¹⁴ The MMO decreased directly after surgery but increased in

TABLE 1 Data extraction objective and subjective measurements

Author (year)	Sample size (no. of patients)	Age mean (SD) OR Median (range)	Ratio male: female	Histology	Tumor localization	Stage	Treatment modality ^a	no. of measures ^b	Follow-up ^c	Remarks
Objective measurements—cut-off point for trismus										
Yan et al (2003) ^{1,3}	112	44.6 [14-71]	83:29	–	Nasopharynx	I-IV	RT	7	60	
Scott et al (2011) ¹⁴	64	59 (10)	40:24	SCC	Oral cavity, oropharynx	T:1-4 N:0,+	S _i (C)RT	3	6	
Lee et al (2012) ⁴⁷	152	–	–	–	HNC	Disease:1-4	S _i (C)RT	3	>6	
Pauli et al (2013) ⁴⁸	75	62 [35-86]	45:30	–	HNC	T:0-4 UICC:I-IV	S _i (C)RT	4	12	P: Pauli et al (2016) ⁴⁹
Pauli et al (2016) ⁴⁹	216	60 [29-87]	155:62	–	HNC	T:x-4	(C)RT	4	12	
van der Geer et al (2016) ⁵⁰	641	62.3 (12.5)	451:190	–	HNC	T:x-4	S _i (C)RT	7	48	T: Kamstra et al (2015) ¹⁵
Objective measurements—maximal mouth opening measurements										
Goldstein et al (1999) ⁵¹	58	–	–	–	HNC	–	RT	2	6-12	
Wang et al (2005) ⁵²	17	<50 y (n = 8), >50 y (n = 9)	13:4	–	Nasopharynx	T:1-4 N:0-3 Disease:I-III	RT	20	48	
Bragante et al (2012) ⁵⁴	26	59.0 (8.8) [45-74]	26:0	–	HNC	INCA:I-IVB	(C)RT	3	0	
Mucke et al (2012) ⁵⁵	96	62.8 (8.9) [41-82]	58:38	SCC	anterior floor of the mouth	T:1-4 N:0-3	S _i (C)RT	2	2-24	
Lyons et al (2013) ⁵⁶	62	<50 y (n = 26), ≥50 y (n = 36) ^d	33:29	–	HNC	T:1-4 N:0-3	S _i (C)RT	2	12-36	
Lazarus et al (2014) ⁵⁷	29	58.5 (9.2) [41-78]	23:6	–	HNC	AJCC:I-IVA	(C)RT	3	6	
Safdar et al (2014) ⁵⁸	65	Group 1: 59.7 (11.5) Group 2: 60.6 (13.4)	45:20	–	HNC	T:1-4	S	2	6	Group 1: platysma reconstruction Group 2: submental reconstruction
Wetzels et al (2014) ¹⁶	143	Group 1: 68.4 (12.2) Group 2: 66.9 (12.6)	Group 1: 17:17	–	Oral cavity	T:1-4	S _i RT	4	12	Group 1: maxilla Group 2: mandible

(Continues)

TABLE 1 (Continued)

Author (year)	Sample size (no. of patients)	Age mean (SD) OR Median (range)	Ratio male: female	Histology	Tumor localization	Stage	Treatment modality ^a	no. of measures ^b	Follow-up ^c	Remarks
		Group 3: 62.3 (12.9)	Group 2: 28:26 Group 3: 33:22							Group 3: tongue/floor of mouth
Bragante et al (2015) ⁵³	56	58.7 (10.8)	52:4	–	UADT	Disease:I-IV	S _i (C)RT	2	0	
Fong et al (2015) ⁵⁹	27	58.7 (9.5)	16:11	–	Nasopharynx	AJCC:I-IV	S _i (C)RT	4	12 (after intervention)	Control Group only
Kamstra et al (2015) ¹⁵	641	62.3 (12.5)	451:190	–	HNC	T:0-4, N:0-3	S _i (C)RT	7	48	
Manaktala et al (2015) ⁶⁰	24	–	–	–	HNC	–	RT	5	18 Gy	
Nayar et al (2016) ⁶¹	55	–	–	–	HNC	–	S _i RT	2	1-2	
Al-Saleh et al (2017) ⁴³	16	Group 1: 54.2 (12.5) Group 2: 50.6 (11.9)	Group 1: 6:3 Group 2: 5:2	–	Oral cavity, oropharynx	T:1-4 N:0-3	S	2	1.5-2	Group 1: mandibulotomy surgery Group 2: transoral surgery
Lalla et al (2017) ⁶²	372	59.8 (10.9)	284:88	SCC	HNC	–	S _i (C)RT	2	6	
Thor et al (2017) ⁶³	196	60 (11)	141:55	–	HNC	T:0-4 N:0-4	(C)RT	4	12	P: Pauli et al (2016) ⁴⁹
Subjective measurements										
De Graeff et al (1999) ¹⁷	75	60 [29-75]	54:21	SCC	Oral cavity, oropharynx	AJCC:I-IV	S _i RT	3	12	P: De Graeff et al (2000) ²⁹
De Graeff et al (2000) ²⁹	107	60 [31-73]	86:21	SCC	HNC	AJCC:0-IV	S _i RT	5	36	
Epstein et al (2000) ⁵	20	53.4 [38-78]	12:8	–	HNC	AJCC:I-IV	RT	3	6	
	357	63 [18-88]	256:101	–	HNC	Disease:I-IV	S _i (C)RT	6	12	

TABLE 1 (Continued)

Author (year)	Sample size (no. of patients)	Age mean (SD) OR Median (range)	Ratio male: female	Histology	Tumor localization	Stage	Treatment modality ^a	no. of measures ^b	Follow-up ^c	Remarks
Bjordal et al (2001) ²⁸										
Hammerlid et al (2001) ¹⁸	232	61 [18-85]	162:70	-	HNC	Disease:I-IV	S _i (C)RT	5	36	
Ohrn et al (2001) ³³	18	55.4 (9.0) [38-73]	10:8	SCC ACA	HNC	-	(C)RT	4	1	
Wilffang et al (2003) ³⁴	53	54.2 [34-78]	48:5	SCC	Oral cavity	UICC:0-IV	S _i (C)RT	4	24	
Fang et al (2004) ³⁰	77	50 [22-78]	77:0	SCC	HNC	AJCC:III,IV	S, RT	2	24	P: Fang et al (2005) ³¹
Abendstein et al (2005) ⁶⁴	167	61 [18-86]	116:51	-	HNC	Disease:I-IV	S _i (C)RT	3	60	P: Bjordal et al (2001) ²⁸
Fang et al (2005) ³¹	149	53 [25-81]	138:11	SCC	HNC	AJCC:III,IV	(C)RT	2	12	
Nordgren et al (2005) ³²	89	60	68:21	-	Pharynx	Disease:I-IV	S _i (C)RT	4	60	P: Bjordal et al (2001) ²⁸
Urdaniz et al (2005) ³⁷	60	Group 1: 56 Group 2: 57	-	-	HNC	T:2-4 N:0,+ AJCC:III,IV	(C)RT	3	1	Group 1: 72 Gy, 6 wk Group 2: 80.4 Gy, 7 wk
Borggreven et al (2007) ⁶⁵	80	58 [23-74]	47:33	SCC	Oral cavity, oropharynx	T:2-4, N:0-3	S _i RT	3	12	
Oates et al (2007) ²⁰	14	-	-	-	Nasopharynx	T:1-4 N:0-3	(C)RT	5	24	
Bozec et al (2008) ⁶⁶	65	61.2 (9.3) [40-85]	49:16	-	HNC	T:2-4 N:0-3	S _i RT	3	12	
Bozec et al (2009) ⁶⁷	41	62.3 (9.6) [43-85]	33:8	SCC	Oral cavity, Oropharynx	T:2-4 N:0-3 AJCC:II-IV	S _i (C)RT	3	12	P: Bozec et al (2008) ⁶⁶
Rizvi et al (2009) ⁶⁸	37	51.8 (9.6)	18:19	-	HNC	T:3,4 N:1,2	S _i RT	4	6	

(Continues)

TABLE 1 (Continued)

Author (year)	Sample size (no. of patients)	Age mean (SD) OR Median (range)	Ratio male: female	Histology	Tumor localization	Stage	Treatment modality ^a	no. of measures ^b	Follow-up ^c	Remarks
Vergeer et al (2009) ³⁵	241	Group 1: ≤65 y (n = 95), >65 y (n = 55) Group 2: ≤65 y (n = 68), >65 y (n = 23)	Group 1: 104:46 Group 2: 51:40	SCC	HNC	T:0-4 N:0-3, UICC:I-IV	S _i (C) RT	5	12	Group 1: 3D-RT Group 2: IMRT
Yoshimura et al (2009) ⁶⁹	56	63 [25-88]	46:10	SCC	Oral cavity	T:1-3	LDR-BT	4	12	
Chan et al (2012) ³⁶	185	50.2 (11.4)[24-81]	151:34	-	Recurrent nasopharynx	-	S _i (C)RT	2	6	
Al-Mangani et al (2013) ⁷⁰	207	<65 y (n = 142), ≥65 y (n = 65)	143:64	-	Oropharynx	T:1-4 N:0-3 AJCC:I-IV	(C)RT	5	18	
Kumar et al (2013) ⁷⁴	111	Group 1: 55.3 (12.4) Group 2: 53.4 (11.2)	Group 1: 47:8 Group 2: 49:7	SCC	HNC	Stage:III-IVb	(C)RT	3	6	Group 1: palliative RT Group 2: palliative CRT
Rathod et al (2013) ⁷¹	60	Group 1: 55 [33-65] Group 2: 51 [31-65]	Group 1: 25:3 Group 2: 29:3	SCC	HNC	T:1-3 N:0-2b AJCC:I-IV	RT	6	24	Group 1: 3D-RT Group 2: IMRT
Zhao et al (2014) ⁷²	83	Group 1: 52.0 [22-81] Group 2: 53.4 [28-76]	Group 1: 28:15 Group 2: 27:13	-	Nasopharynx	T:4 N:3 UICC:2-4	(C)RT	5	24	Group 1: CRT + ERF Group 2: CRT
Arslan et al (2015) ⁷³	40	56 [20-65]	33:7	-	HNC	Stage:I-IVA	S _i (C)RT	3	3	
Landstrom et al (2015) ⁷⁵	19	56.6	12:7	SCC ACA	HNC	T:1,2	(C)RT	2	12	
Rao et al (2016) ¹⁹	421	≤55 y (n = 191), >55 y (n = 230)	345:76	SCC	Pharynx, larynx	T:1-4 N:0-3 AJCC:II-IV	(C)RT	12	Median 33	
Dzioba et al (2017) ⁷⁶	117	58.2 (13.3)	71:46	SCC	Tongue (oral cavity)	T:1-4 AJCC:I-IVA	S _i (C)RT	4	12	

TABLE 1 (Continued)

Author (year)	Sample size (no. of patients)	Age mean (SD) OR Median (range)	Ratio male: female	Histology	Tumor localization	Stage	Treatment modality ^a	no. of measures ^b	Follow-up ^c	Remarks
Gao et al (2018) ⁷⁷	77	<60 y (n = 48), ≥60 y (n = 29)	41:36	SCC ACC	Tongue	-	S	3	12	
Tribius et al (2018) ⁴⁶	161	60.4 (10.4)	110:51		HNC	UICC T:1-4 N:0-3	RT	3	24	BL = AT
Veluthattil et al (2019) ⁴⁵	25	≤60 y (n = 21), >60 y (n = 4)	11:14	SCC	Oral cavity	Stage:IVA- IVC	RT	2	2	

Abbreviations: *Histology*: ACA, adenocarcinoma; ACC, adenoid cystic carcinoma; SCC, squamous cell carcinoma. *Tumor localization*: HNC, head and neck cancer; UADT, upper aero-digestive tract. *Stage*: AJCC, stage according to American Joint Committee on Cancer; INCA, stage according to Instituto Nacional de Câncer (National Institute of Cancer Brazil); N, nodes classification; T, tumor classification; UICC, stage according to Union for International Cancer Control. *Treatment modality*: C, chemotherapy; (C)RT, chemoradiotherapy; LDR-BT, low-dose-rate interstitial brachytherapy; RT, radiotherapy; S, surgery. *Remarks*: 3D-RT, three-dimensional radiotherapy; ERF, extracorporeal radiofrequency; IMRT, intensity modulated radiotherapy; Gy, Groupy of radiation; P, partial overlap of study population; T, total overlap of study population; wk, week.

^aThe text in bold indicates that all the patients in this study received that particular treatment modality.

^bNumber of measurement points reported.

^cFollow-up period (in months after treatment).

^dCalculated from data reported.

TABLE 2 Quality assessment using the “Quality in Prognosis Studies” tool

Author (year)	Study participation	Study attrition	Prognostic factor measurement	Outcome measurement	Study confounding	Statistical analysis and reporting	Overall risk of bias
Objective measurements							
Yan et al (2003) ¹³	N/A	N/A	L	L	H	H	H
Scott et al (2011) ¹⁴	H	H	L	N/A ^a	L	H	H
Lee et al (2012) ⁴⁷	N/A	H	L	L	M	H	H
Pauli et al (2013) ⁴⁸	M	L	L	L	L	M	H
Pauli et al (2016) ⁴⁹	L	M	M	L	M	M	H
van der Geer et al (2016) ⁵⁰	M	H	L	L	M	L	H
Objective measurements							
Goldstein et al (1999) ⁵¹	N/A	N/A	L	L	M	H	H
Wang et al (2005) ⁵²	N/A	M	L	L	H	H	H
Bragante et al (2012) ⁵⁴	N/A	M	L	L	M	H	H
Mucke et al (2012) ⁵⁵	N/A	N/A	L	N/A	H	H	H
Lyons et al (2013) ⁵⁶	N/A	M	L	M	L	H	H
Lazarus et al (2014) ⁵⁷	N/A	M	L	L	H	H	H
Safdar et al (2014) ⁵⁸	N/A	L	L	L	H	H	H
Wetzels et al (2014) ¹⁶	N/A	M	L	N/A ^a	L	L	H
Bragante et al (2015) ⁵³	N/A	L	L	L	L	L	L
Fong et al (2015) ⁵⁹	H	M	L	L	H	M	H
Kamstra et al (2015) ¹⁵	L	H	L	M	M	L	H
Manaktala et al (2015) ⁶⁰	N/A	L	L	N/A	H	H	H
Nayar et al (2016) ⁶¹	N/A	N/A	L	L	H	H	H
Al-Saleh et al (2017) ⁴³	N/A	H	L	M	H	H	H
Lalla et al (2017) ⁶²	H	H	L	M	H	H	H
Thor et al (2017) ⁶³	M	H	L	L	H	H	H
Subjective measurements							
De Graeff et al (1999) ¹⁷	L	M	L	L	H	H	H
De Graeff et al (2000) ²⁹	L	M	L	L	M	H	H
Epstein et al (2000) ⁵	N/A	H	L	L	M	H	H
Bjordal et al (2001) ²⁸	N/A	L	L	L	H	H	H
Hammerlid et al (2001) ¹⁸	N/A	L	L	M	L	H	H
Ohrn et al (2001) ³³	M	N/A	L	L	H	H	H
Wiltfang et al (2003) ³⁴	L	M	L	L	H	H	H
Fang et al (2004) ³⁰	N/A	M	L	L	H	H	H
Abendstein et al (2005) ⁶⁴	N/A	L	L	L	M	H	H
Fang et al (2005) ³¹	L	L	L	L	H	H	H

TABLE 2 (Continued)

Author (year)	Study participation	Study attrition	Prognostic factor measurement	Outcome measurement	Study confounding	Statistical analysis and reporting	Overall risk of bias
Nordgren et al (2005) ³²	N/A	M	L	L	M	H	H
Urdaniz et al (2005) ³⁷	N/A	L	L	L	M	H	H
Borggreven et al (2007) ⁶⁵	N/A	L	L	L	M	H	H
Oates et al (2007) ²⁰	N/A	L	L	L	H	H	H
Bozec et al (2008) ⁶⁶	L	H	L	L	L	H	H
Bozec et al (2009) ⁶⁷	L	M	L	L	L	H	H
Rizvi et al (2009) ⁶⁸	N/A	L	L	M	H	H	H
Vergeer et al (2009) ³⁵	M	N/A	L	L	H	H	H
Yoshimura et al (2009) ⁶⁹	N/A	M	M	L	L	M	H
Chan et al (2012) ³⁶	N/A	L	L	L	H	H	H
Al-Mamgani et al (2013) ⁷⁰	L	N/A	L	L	M	H	H
Rathod et al (2013) ⁷¹	L	H	L	L	L	L	L
Zhao et al (2014) ⁷²	N/A	N/A	L	L	H	H	H
Arslan et al (2015) ⁷³	N/A	N/A	L	L	H	H	H
Kumar et al (2013) ⁷⁴	L	L	L	L	H	H	H
Landstrom et al (2015) ⁷⁵	N/A	L	L	L	H	H	H
Rao et al (2016) ¹⁹	H	N/A	L	M	L	L	H
Dzioba et al (2017) ⁷⁶	L	H	L	L	M	M	H
Gao et al (2018) ⁷⁷	N/A	N/A	L	L	H	H	H
Tribius et al (2018) ⁴⁶	N/A	L	N/A	L	H	H	H
Veluthattil et al (2019) ⁴⁵	N/A	M	L	L	H	H	H

Abbreviations: H, high risk of bias; L, low risk of bias; M, moderate risk of bias; N/A, not applicable.

^aExtra-oral measurement.

the 6 months thereafter. When patients received (chemo) radiotherapy, the MMO decreased directly after the treatment, but did not increase in the 6 months thereafter. The MMO decreased even more with an increase in radiation dose.⁵⁴

Patients who were given conventional three-dimensional radiotherapy, instead of intensity modulated radiotherapy, perceived more difficulties opening the mouth.^{35,71} Also, patients who underwent chemotherapy without the addition of extracorporeal radiofrequency perceived more difficulties with opening the mouth compared with those who received additional extracorporeal radiofrequency.⁷² Regarding the remaining factors, a greater reduction in MMO was found when mucositis was present compared to when mucositis was not present.⁵³ MMO was not significantly reduced in relation to

alcohol consumption and smoking factors.¹⁶ Patients with a lower social economic status perceived more difficulties with opening the mouth than patients with a middle or high social economic status.⁴⁶

3.6 | Timing

The highest percentage of patients developed trismus directly after treatment and it continued to increase in the 6 months thereafter (Figure 2A). The percentage of patients with trismus seemed to stabilize 12 months after treatment. MMO decreased directly after treatment and in the 6 months thereafter (Figure 2B) and appeared to stabilize 12 months after treatment. Patients' perception of difficulties with opening the mouth was highly diverse

(Figure 2C). The majority of the patients perceived difficulties with opening the mouth directly after treatment, but thereafter the perception varied considerably.

The figures were based on 29 studies. Other studies were not included because: they did not report data on restricted mouth opening at time points before and after oncological treatment ($n = 14$)^{19,34-36,43,46,49,51,55,56,59,61,72,74}; the trismus scores were reported as a cumulative incidence¹³; MMO was reported as a normalized value⁵²; a mean reduction⁵⁸; or as a median score¹⁴; or the scores of the questionnaires were not transformed into symptom scores ($n = 3$)^{5,44,68} or were reported as a median score.^{45,75} The data from studies that included the same study population as another study were not displayed either.⁵⁰

3.7 | Multivariate analyses

Eight studies built multivariate models affecting trismus, mouth opening perceived difficulties opening the mouth.^{15,16,19,48-50,53,76} Three of these studies built and reported prognostic models taking time into account (Table 4).^{15,53,76} Two of these studies analyzed factors affecting MMO,^{15,53} and one study analyzed the factors affecting perceived difficulties with opening the mouth.⁷⁶ Presence of mucositis, deterioration of overall functioning (according to the Karnofsky Performance Status Scale), tumors located near the oral cavity, oropharynx and nasopharynx, nasal cavity and maxillary sinus, shorter time after radiotherapy, female sex, a small baseline mouth opening, large tumor (T stage 4), higher age, and a great target volume (radiotherapy) were significantly associated with a decrease in MMO. A combination of oncological treatment modalities (surgery and (chemo) radiotherapy) and shorter time after oncological treatment) were associated with perceived difficulties with opening the mouth.

3.8 | Best evidence synthesis

There is moderate evidence that the presence of mucositis and a deterioration of overall functioning (according to the Karnofsky Performance Status Scale) results in a reduction of MMO (Table 5). There is limited to moderate evidence that target volume, time after treatment, and baseline mouth opening results in a reduction of MMO, and that time after treatment results in higher scores of perceived difficulties opening the mouth. There is conflicting evidence that the factors age localization, age, T classification, reconstruction after surgery, different types of treatment modalities, and sex affect MMO, and that the

different types of treatment modalities affect perceived difficulties opening the mouth as well.

Conflicting evidence was mainly the result of a different categorization of a particular factor across the studies. For instance, a significant association between factor tumor localization and MMO was found, if tumor localization was categorized in the two categories: “oral cavity and oropharynx” vs “nasopharynx, hypopharynx and larynx.”⁵³ However, no significant association was found between factor tumor localization and MMO, if tumor localization was categorized in the two categories “oral cavity” vs “oropharynx.”¹⁴

Significant associations were found between a reduction in MMO and the factors: T classification: if stage 4 was compared to other stages; treatment modalities, if multiple treatment modalities were compared to a single treatment modality or (chemo)radiotherapy was compared to surgery more than 6 months after treatment; reconstruction, if platysma flap was compared with a submental flap. A significant association between higher scores on perceived difficulties opening the mouth and the factor treatment modality was found, if multiple treatment modalities were compared to one single treatment modality or chemoradiotherapy was compared to radiotherapy alone. The largest reductions on MMO were found for a greater target volume (limited to moderate evidence) and the presence of mucositis after radiotherapy (moderate evidence) (Table 4, estimated effects). The greatest increases for perceived difficulties opening the mouth were found for a combination of treatment modalities given (conflicting evidence) and time after treatment (limited to moderate evidence) (Table 4, estimated effects).

4 | DISCUSSION

4.1 | Key results

A restricted mouth opening is most likely in patients with head and neck cancer who have a large tumor near the masticatory muscles that requires extensive cancer treatment. A restricted mouth opening is most likely to occur in the first 6 months after cancer treatment.

4.2 | Quality of studies

Overall, the quality of the studies was poor. Most studies had a high risk of bias. Two studies had a low risk of bias, but these studies did not build a multivariate prognostic model. Factors that were most likely to affect trismus, MMO, or perceiving difficulties opening the mouth, were identified and described. These studies had moderate,

TABLE 3 Overview of patient, tumor, treatment, and other characteristics as prognostic factors for decrease in maximal mouth opening (objective) and patients' perception of difficulties opening the mouth (subjective)

Patient characteristics	Time points of analysis	Age	Sex	Dental status	–509 genotype				
Objective measures									
Scott et al (2011) ¹⁴	AT-BT	<55	Male	Female	Edentulous				
		–11 [–21;–2]		–2 [–11;1]a	–6 [–14;–2]	–9 [–22;0]			
	6M-BT	–6 [–11;1]	–4 [–10;3]	–1 [–10;3]	–10 [–23;0]				
		Lyons et al (2013) ⁵⁶				CC –509 genotype	CT –509 genotype	TT –509 genotype	
Wetzels et al (2014) ¹⁶	AT-BT				–8.5 [–4.5; –13.0] ^b	–17.0 [–8.0; –26.0] ^b	–26.5 [–33.0; –15.0] ^b		
	6M-BT								
Lalla et al (2017) ⁶²	12M-BT								
	6M-BT								
Tumor characteristics	Localization	Stage							
Objective measures									
Scott et al (2011) ¹⁴	AT-BT	Oral	Oropharynx	T-stage 1,2	N-stage 0	N-stage +			
		–7 [–14;–2]		–5 [–14;–1]	–8 [–16;–1]				
	6M-BT	–4 [–10;2]	–9 [–16;1]	–3 [–10;3]	–8 [–13;1]				
		Bragante et al (2012) ⁵⁴	Mouth	Oropharynx	Hypopharynx	Larynx	Drainage area		
AT-BT	–11.0 (1.7) ^b	–11.5 (7.8) ^b	–2.0 (0.0) ^b	–5.3 (6.3) ^b	–2.8 (4.5) ^b	–8.0 (–)	–7.8 (5.9)	–4.5 (5.9)	–6.3 (6.9)
									(Continues)

TABLE 3 (Continued)

Patient characteristics	Time points of analysis	Age	Sex	Dental status	-509 genotype
Lazarus et al (2014) ⁵⁷	3M-BT		Oropharynx	AJCC 1-3	AJCC 4
			Others	-3.5 (-) ^c	-4.8 (-) ^c
	6M-BT		-4.1 (-) ^c	-4.1 (-) ^c	-5.0 (-) ^c
			-3.8 (-) ^c	-4.1 (-) ^c	-5.0 (-) ^c
Wetzels et al (2014) ¹⁶	AT-BT		Mandible	T-stage 1	T-stage 2
			TFM (tongue/floor of mouth)	T-stage 3	T-stage 4
	6M-BT		-15.5 (-) ^{a,c}	-12.0 (-) ^c	-16.7 (-) ^c
			-9.6 (-) ^{a,c}	-5.7 (-) ^c	-10.4 (-) ^c
	12M-BT		-8.1 (-) ^{a,c}	-7.1 (-) ^c	-9.4 (-) ^c
Bragante et al (2015) ⁵³	AT-BT		Maxilla	-10.7 (-) ^{a,c}	-15.0 (-) ^c
			-19.1 (-) ^{a,c}	-17.3 (-) ^c	-15.0 (-) ^c
	6M-BT		Oral cavity oropharynx ^r	-15.1 (-) ^{a,c}	-14.5 (-) ^c
			-11.8 (-) ^{a,c}	-10.8 (-) ^c	-12.0 (-) ^c
	AT-BT		Nasopharynx Hypopharynx Larynx ^r	-1.68 (6.27) ^a	-12.0 (-) ^c
Subjective measures Borggreven et al (2007) ⁶⁵	6M-BT		Oral cavity	T-stage 2	T-stage 3,4
			Oropharynx	23.5 (-)	14.3 (-)
	12M-6M		-11.5 (-)	-11.1 (-)	3.3 (-)
			-11.5 (-)	-11.1 (-)	3.3 (-)
	AT-BT		-11.5 (-)	-11.1 (-)	3.3 (-)
Treatment characteristics	Objective measures Scott et al (2011) ¹⁴		Treatment modality	Reconstruction	Radiation dose
			No RT	No free-flap	Composite free flap
	AT-BT		-8 [-14;-2]	Soft-free flap	-6 [-16;-2]
			-1 [-1;-1]	-2 [-9;-1]	-11 [-12;0]
	6M-BT		-1 [-1;-1]	-5 [-5;-5]	-4 [-4;-4]

TABLE 3 (Continued)

Patient characteristics	Time points of analysis	Age	Sex		Dental status		–509 genotype
Bragante et al (2012) ⁵⁴	AT-BT	[–9;4] ^a	[–15;0] ^a	[–] ^a	[–11;1]	[–]	Total dose <i>R</i> = –0.164 ^a
			<i>RT</i>	<i>CRT</i>			
			–5.5 (6.0)	–4.4 (5.5)			
Mucke et al (2012) ⁵⁵	AT-BT	<i>S only</i>	<i>S + RT</i>	<i>S + RT + ORN</i>			
Safdar et al (2014) ⁵⁸	6M-BT	–22.5% ^b	–49.2% ^b	–49.0% ^b			
			<i>Platysma flap</i>		<i>Submental flap</i>		
				–3.7 (–1.8) ^{a,d}	–4.7 (–1.6) ^{a,d}		
Wetzels et al (2014) ¹⁶	AT-BT	<i>S only</i>	<i>S + RT</i>	<i>RT</i>	<i>Local flap</i>	<i>Myocutaneous or free flap</i>	<i>Bone graft/flap</i>
			–18.2 (–) ^{a,c}	–7.1 (–) ^{a,c}	–22.9 (–) ^c	–17.9 (–) ^c	–17.4 (–) ^c
			–15.0 (–) ^{a,c}	–8.2 (–) ^{a,c}	–20.9 (–) ^c	–12.9 (–) ^c	–12.7 (–) ^c
Al-Saleh et al (2017) ⁴³	1.5-2AT-BT	<i>Mandibulo- tomy surgery</i>	–13.9 (–) ^{a,c}	–8.0 (–) ^{a,c}	–14.6 (–) ^c	–11.9 (–) ^c	–9.8 (–) ^c
			<i>Transoral surgery</i>				
			11.7 (–) ^{a,c}	5.4 (–) ^{a,c}			

Subjective measures	Vergeer et al (2009) ³⁵	6W-BT	3D-RT	IMRT
			8.8 (-) ^{b,c}	-7. (-) ^{b,c}
			11.9 (-) ^{b,c}	1.3 (-) ^{b,c}
			RT	CRT
Kumar et al (2013) ⁷⁴	1M-BT	6M-BT	-3.7 (-) ^{a,c}	-12.3 (-) ^{a,c}
			0.0 (-) ^{a,c}	-17.06 (-) ^{a,c}

(Continues)

TABLE 3 (Continued)

Patient characteristics	Time points of analysis	Age	Sex		Dental status	–509 genotype
Rathod et al (2013) ⁷¹	3M-BT	IMRT	3D-RT	6	–4	–509 genotype
			(–) ^{b,c}	(–) ^{b,c}	(–) ^{b,c}	
	6M-BT	IMRT	16	–3	–3	–509 genotype
			(–) ^{b,c}	(–) ^{b,c}	(–) ^{b,c}	
	12M-BT	IMRT	–2	–2	–2	–509 genotype
			(–) ^{b,c}	(–) ^{b,c}	(–) ^{b,c}	
Zhao et al (2014) ⁷²	18M-BT	IMRT	2	–4	–4	–509 genotype
			(–) ^{b,c}	(–) ^{b,c}	(–) ^{b,c}	
	24M-BT	IMRT	8	–9	–9	–509 genotype
			(–) ^{b,c}	(–) ^{b,c}	(–) ^{b,c}	
	6M-AT	IMRT	CRT+ERF	CRT	CRT	–509 genotype
			–3.5	17.1	17.1	–509 genotype
Other characteristics	12M-AT	Alcohol (>1 daily)	(–) ^{a,c}	(–) ^{a,c}	(–) ^{a,c}	–509 genotype
			–2.6	18.2	18.2	–509 genotype
	18M-AT	Alcohol (>1 daily)	(–) ^{a,c}	(–) ^{a,c}	(–) ^{a,c}	–509 genotype
			–6.6	20.4	20.4	–509 genotype
	24M-AT	Alcohol (>1 daily)	(–) ^{a,c}	(–) ^{a,c}	(–) ^{a,c}	–509 genotype
			–6.4	19.0	19.0	–509 genotype
Objective measures	Smoking	Smoking	Yes	No	Yes	–509 genotype
			–12.9	–14.8	–14.8	–509 genotype
	AT-BT	AT-BT	(–) ^c	(–) ^c	(–) ^c	–509 genotype
			–8.9	–9.1	–9.1	–509 genotype
	6M-BT	6M-BT	(–) ^c	(–) ^c	(–) ^c	–509 genotype
			–8.9	–8.2	–10.5	–509 genotype
Bragante et al (2015) ⁵³	12M-BT	12M-BT	(–) ^c	(–) ^c	(–) ^c	–509 genotype
			–8.9	–8.2	–10.5	–509 genotype
	AT-BT	AT-BT	Yes	No	Yes	–509 genotype
			–12.9	–14.8	–14.8	–509 genotype
	6M-BT	6M-BT	(–) ^c	(–) ^c	(–) ^c	–509 genotype
			–8.9	–9.1	–9.1	–509 genotype

TABLE 3 (Continued)

Patient characteristics	Time points of analysis	Age	Sex	Dental status	–509 genotype
Subjective measures					
Tribius et al (2018) ⁴⁶	24M-AT			Low –12.3 (–) ^{a,c}	Middle –30.5 (–) ^{a,c} High –30.6 (–) ^{a,c}

Note: Number of decimals are reported as the authors have reported it. In case two or more decimals are given, one decimal is reported. For the objective measures, a decrease (a negative value), means a worse restricted mouth opening. For the subjective measures, an increase (a positive value), means a worse restricted mouth opening.

Abbreviations: 3D-RT, three-dimensional radiotherapy; (n)W, number of weeks after oncological treatment; (n)M, number of months after oncological treatment; AJCC, stage according to American Joint Committee on Cancer; AT, after oncological treatment; BT, before oncological treatment; CRT, chemoradiotherapy; IMRT, intensity modulated radiotherapy; ERF, extracorporeal radiofrequency; RT, radiotherapy; SES, socioeconomic status.

^aSignificant ($p < 0.05$).

^bSignificant in some analyses ($p < 0.05$).

^cDifference between mean scores calculated.

^dConversion centimeters to millimeters.

Value represents median [interquartile range].

Value represents mean score (SD).

TABLE 4 Prognostic factor models for restricted mouth opening

Study (year)	Outcome measure	Method for including factor in model	Performed analysis		Factors in the final model	Estimated effect	
Bragante et al (2015) ⁵³	Reduction in maximal mouth opening	Bivariate analysis ($P < .20$)	Linear regression analysis	Enter ($P < .05$)		B	95% confidence interval
					Change in diet consistency after radiotherapy	-0.29	-4.27;3.69
					Radiation field—oral cavity and oropharynx	-2.83	-6.61;0.96
					Mucositis after radiotherapy ^a	-4.19	-7.62;-0.80
					Difference in Karnofsky Performance Scale ^{a,b}	0.12	0.02;0.24
					Disease stage: III/IV	-0.90	-4.26;6.07
Kamstra et al (2015) ¹⁵	Change in maximal mouth opening	Theoretical plausability	Linear mixed model analysis	Backward stepwise selection ($P < .05$) (-log likelihood criterion)		B	95% confidence interval
					Intercept	12.88	10.00;15.77
					Location		
					Oral cavity	1.57	-3.50;6.63
					Oropharynx and nasopharynx	1.04	-4.09;6.18
					Salivary glands and ear	2.56	-2.57;7.68
					Hypoglottic and supraglottic larynx	3.56	-1.61;8.73
					Glottic and subglottic larynx	4.40	-0.76;9.57
					Nasal cavity and maxillary sinus	1.26	-4.00;6.53
					Unknown primary	-	N/A
					Time after radiotherapy	4.00	3.38;4.63
					Male sex	1.10	0.11;2.08
					Mouth opening before treatment	0.69	0.65;0.73
					Tumor stage: T4	-1.14	-2.16;-0.11
					Age	-0.05	-0.08;-0.01
					Target volume on primary tumor	-4.76	-9.36;-0.17
					Oral cavity \times time	0.69	-0.47;1.85
					Oropharynx or nasopharynx \times time	0.47	-0.70;1.64
					Salivary glands or ear \times time	0.91	-0.26;2.08

TABLE 4 (Continued)

Study (year)	Outcome measure	Method for including factor in model	Performed analysis	Factors in the final model	Estimated effect	
				Hypopharynx or supraglottic larynx × time	1.27	0.09;2.45
				Glottic or subglottic larynx × time	1.48	0.30;2.66
				Nasal cavity or maxillary sinus × time	0.62	−0.57;1.82
				Unknown primary × time	-	N/A
				Mouth opening before treatment × time	−0.10	−0.11;−0.09
				Male sex × time	0.32	0.11;0.54
				Baseline age centered at 60 years × time	−0.01	−0.02;0.00
				Tumor stage T4 × time	−0.27	−0.50;−0.05
				Target volume on primary tumor × time	−1.69	−2.75;−0.64
Dzioba et al (2017) ⁷⁶	EORTC QLQ HN35 ^c	Mixed effect regression analysis	$P > .05$ exclusion interaction terms $P > .05$ exclusion for treatment		B	95% confidence interval
				Baseline	14.65	7.4;21.9
				Surgery and radiotherapy	2.24	−7.6;12.0
				Surgery and chemoradiotherapy	14.59	5.5;23.7
				1 month after treatment	12.42	5.2;19.6
				6 months after treatment	11.30	3.7;18.9
				1 year after treatment	2.86	−5.3;11.0

^aSignificantly contributing to the model.

^bKarnofsky Performance Scale: an index used to classify functional impairment, using a scale of 0-100.

^cThe European Organization for Research and Treatment of Cancer Quality of Life Questionnaire—Head and Neck cancer Module 35: a validated quality of life questionnaire, specifically for head and neck cancer related symptoms.

limited, or conflicting levels of evidence. Levels of strong evidence were not reached. Nonetheless, this systematic review gives insight into the factors that should be taken into account in future research on a restricted mouth opening in patients with head and neck cancer.

4.3 | Prognostic factors

Moderate evidence was found for the influence of mucositis after radiotherapy on a reduction in MMO.⁵³

The effect of mucositis on mouth opening is probably related to the associated healing tendency and the associated pain, since it was noted that MMO decreased in the presence of mucositis and increased when the mucositis resolved.⁷⁸ The effects of pain on MMO, analyzed in the form of pain medication or alcohol (which may act as a pain killer as well) have also been reported.^{16,47,48} The effects of factors related to the healing tendency or pain intensity on a restricted mouth opening should be explored further in future studies.

TABLE 5 Best evidence synthesis of prognostic factors on MMO and on scores for perceived difficulties opening the mouth

Prognostic factor	Studies	Number of patients per study	Total number of patients	Associations (+, −, or ±)	Level of evidence
Maximal mouth opening reduction					
Disease stage	[54;57;53]	26;29;56	111	−	Moderate
Presence of mucositis	[53;53]	56	56	+	Moderate
Deterioration of overall functioning (Karnofsky Performance Status Scale ^a)	[53]	56	56	+	Moderate
Diet consistency	[53]	56	56	−	Moderate
Larger target volume	[15]	641	641	+	Limited/Moderate
Shorter time after treatment	[15]	641	641	+	Limited/Moderate
Smaller baseline mouth opening	[15]	641	641	+	Limited/Moderate
Localization	[16;53;15]	143;56;641	840	+	Conflicting <i>Oral cavity (predominantly maxilla) and oropharynx vs other localizations^b</i>
	54	26	26	±	
	[14;57;53 ^c]	64;29;56	149	−	
Age	[15]	641	641	+	Conflicting ^b
	[14]	64	64	−	
T classification	[15]	641	641	+	Conflicting <i>T classification stage 4 vs other stages.^b</i>
	[14;16]	64;143	207	−	
Reconstruction	[58]	65	65	+	Conflicting <i>Platysma flap vs submental flap^b</i>
	[14;16]	64;143	207	−	
Treatment modalities	[16;43]	143;16	159	+	Conflicting ^b <i>Multiple treatment modalities vs single treatment modality; (Chemo) radiotherapy vs surgery > 6months</i>
	[14;55]	64;96	160	±	
	[54]	26	26	−	
Sex	[15]	641	641	+	Conflicting
	[14]	64	64	±	
	[16;62]	143;372	515	−	
Dental status	[14;16]	64;143	207	−	Limited
Alcohol	[16]	143	143	−	Limited
Smoking	[16]	143	143	−	Limited
N classification	[14]	64	64	−	Limited
−509 genotype	[56]	62	62	±	Limited
Higher radiation dose	[54]	26	26	+	Limited

TABLE 5 (Continued)

Prognostic factor	Studies	Number of patients per study	Total number of patients	Associations (+, −, or ±)	Level of evidence
Increased score on perceived difficulties opening the mouth					
Shorter time after treatment	[76]	117	117	+	Limited/moderate
Treatment modalities	[74;76]	111;117	228	−	Conflicting <i>Multiple treatment modalities vs single treatment modality; Chemo radiotherapy vs radiotherapy; Three dimensional radiotherapy vs intensity modulated radiotherapy >6 months^b</i>
	[35;71]	241;60	301	±	
Higher social economic status	[46]	161	161	+	Limited
No addition of electrofrequency	[72]	83	83	+	Limited
Localization	[65]	80	80	−	Limited
T stage	[65]	80	80	−	Limited

Note: [number], reference of study, univariate analysis; [number], reference of study, multivariate analysis; +, significant association found between factor and outcome measure; −, no significant association found between factor and outcome measure; ±, partial association found between elements within a factor and outcome measure.

^aKarnofsky Performance Scale: an index used to classify functional impairment, using a scale of 0-100.

^bSignificant associations found between factor and outcome measure on the basis of a particular categorization. This particular categorization is written in italics.

^cThis study analyzed the effects of “radiation field in the area of the oral cavity and oropharynx” on maximal mouth opening, and is therefore included as part of the potential prognostic factor: “localization.”

The healing process might also influence the impact of other factors (such as time after treatment and different types of treatment modalities) on a restricted mouth opening. If time passes, it is likely that the affected tissues will heal. The MMO might become less restricted or even increase over time.¹⁵ The healing process might also differ per treatment modality. For instance, in one study, the differences in MMO reduction between surgery and (chemo) radiotherapy over time were displayed: patients who had surgery had a decrease in MMO directly after treatment, but the MMO increased in the 6 months thereafter, whereas the patients who received (chemo) radiotherapy had a decrease in MMO directly after treatment, but the MMO did not increase in the 6 months thereafter. The healing process after (chemo) radiotherapy takes more time than after surgery.¹⁴

Besides the healing process, tumor localization might influence MMO as well, although the evidence is conflicting. The greatest reduction in MMO is most likely when the tumor is located near risk structures. Risk structures involve the temporomandibular joint and the masticatory muscles. A decrease in MMO and an increase in perceived difficulties with opening the mouth

were found when the tumor was located in proximity of these risk structures, such as the oral cavity, oropharynx and nasopharynx, nasal cavity, and maxillary sinus. A former systematic review on risk factors for trismus included only one study (the Goldstein et al⁵¹) that found that the MMO was reduced by 18% (SD 17%) when the temporomandibular joint and/or the pterygoid muscles were affected.⁹ A later review concluded that the masticatory related structures generally affect MMO, but the masseter muscle had the strongest influence.⁷⁹ More recently, the ipsilateral medial pterygoid muscle^{19,80} and the masseter muscle^{49,80} were identified as the structures most likely to result in a decrease in MMO.

A larger target volume, and also a stage IV tumor, resulted in a large reduction of MMO.¹⁵ Both findings are in contrast with other studies.^{14,16,54,57,65} Presumably, a significant effect was found for such a large tumor, because more risk structures were involved and more extensive cancer treatment was necessary.

There is limited to moderate evidence that baseline mouth opening affect MMO.¹⁵ A smaller baseline mouth opening results in a larger decrease in MMO. This large decrease in MMO means that the risk of trismus will be

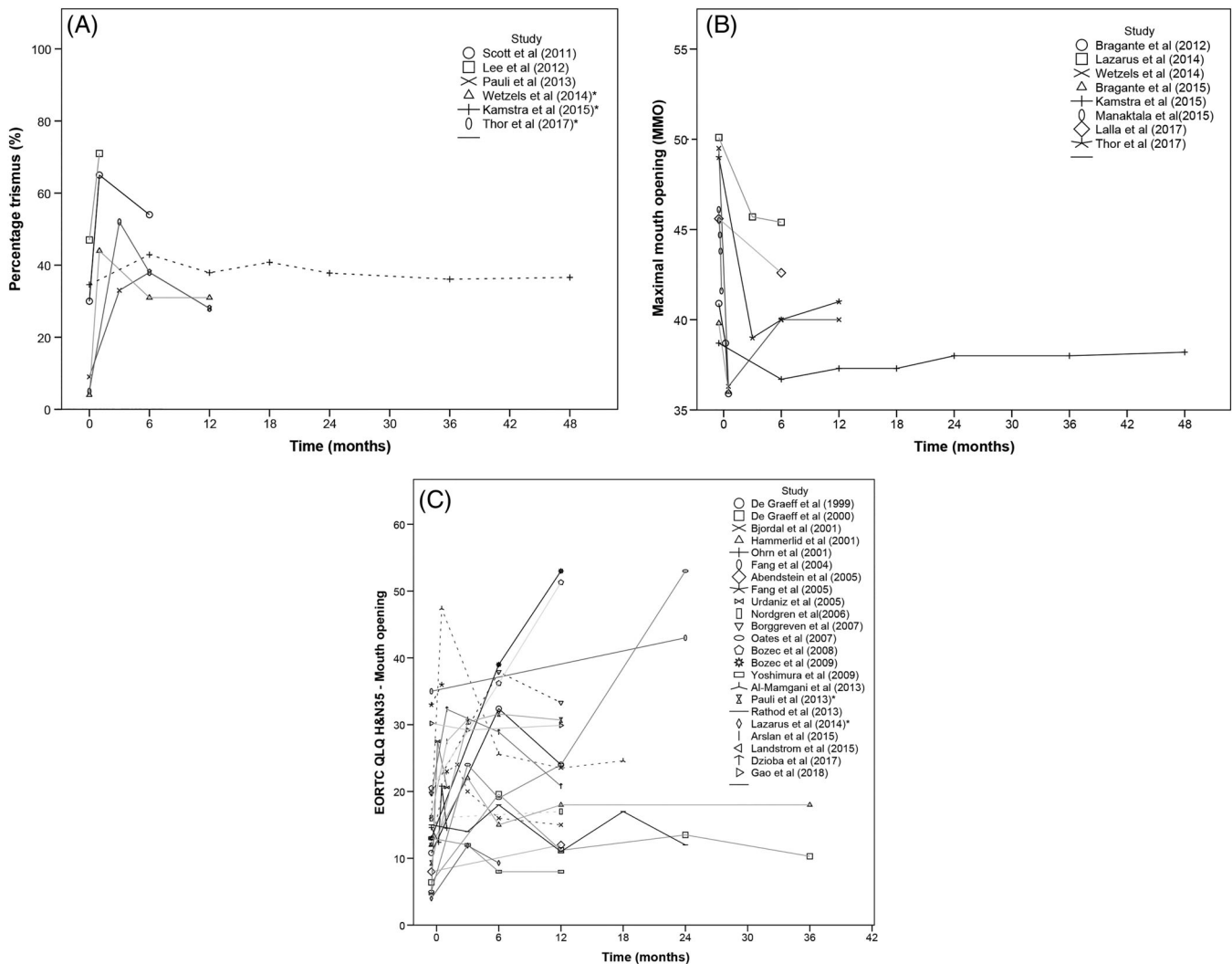


FIGURE 2 A, Longitudinal evaluation of percentage of patients with trismus. * indicates that study reported trismus as a secondary outcome. Broken lines display studies that had overlapping data with other studies. The studies that contained the largest sample size are displayed as straight lines. B, Longitudinal evaluation of maximal mouth opening. Broken line displays studies that had overlapping data with other studies. The studies that contained the largest sample size are displayed as straight lines. C, Longitudinal evaluation of patient's quality of life score-domain: difficulties opening the mouth. * indicates that study reported patient's score of perceived difficulties opening the mouth as a secondary outcome. Broken lines display studies that had overlapping data with other studies. The studies that contained the largest sample size are displayed as straight lines

greater. As an elaboration of this found effect, a baseline mouth opening of 46 mm or less was determined, as a cut-off point for developing trismus.⁸⁰

The described effects of sex and age on MMO are conflicting. One study found that males tend to have a larger decrease over time than females.¹⁴ Another study found that the decrease was the same in males and females over time.¹⁶ Yet another study found that females had a higher risk of a decrease in mouth opening than males.¹⁵ Regarding age, one study found that the mouth opening of younger patients decreased more over time than of older patients.¹⁴ However, another study found that older patients had a higher risk of a decrease in mouth opening than younger patients.¹⁵ The effects of sex and age may

have been confounded by other factors not reported or analyzed in those studies. For instance, the genotype of the patients might have influenced the effect.⁵⁶ Patients with the homozygous TT -509 genotype experienced a greater reduction in MMO than patients with the homozygous CC or heterozygous CT -509 genotype. However, the evidence for the influence of the -509 genotype is limited.

4.4 | Objective and subjective measures over time

Diverse patterns were seen over time regarding perceived difficulties with opening the mouth. Patients' perceptions

of difficulties with opening the mouth might be influenced by different factors over time, such as pain, dry mouth, overall emotional functioning, or treatment modalities.^{81,82}

4.5 | Strength and limitations

The strength of this study is that we had no restriction concerning publication year or publication language. Four databases were searched in order to include as many studies as possible. Due to the different aims of the studies and subsequently the different designs of the studies, it was challenging to structure and interpret the data. Due to clinical and methodological heterogeneity, no meta-analysis was conducted. Instead, we performed a best evidence synthesis. Due to this synthesis, we were still able to gain insight into which prognostic factors should be taken into account from the 53 included studies. The results of this systematic review should be viewed cautiously because of high risk of bias in the source studies.

We used the QUIPS tool to assess bias but it was not really suitable for those studies whose primary aim was not to analyze trismus prognostic factors, making it difficult to assess the studies. Hence, the overall kappa score was low.

4.6 | Future research

Large sample size studies are recommended with multiple structured measurement moments to analyze prognostic factors. The effects of factors related to healing tendency and pain intensity on trismus, decrease in MMO, and perceived difficulties with opening the mouth should be studied further.

5 | CONCLUSION

A restricted mouth opening is most likely when the patient with head and neck cancer has a large tumor located in close proximity to the mastication muscles or temporomandibular joint that requires extensive cancer treatment. A restricted mouth opening will most likely occur in the first 6 months after cancer treatment. More research is needed on the effect of factors related to healing tendency and pain intensity on a restricted mouth opening.

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CONFLICT OF INTEREST

The authors declare no potential conflict of interest.

ORCID

Sarah J. van der Geer  <https://orcid.org/0000-0002-5766-054X>

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SUPPORTING INFORMATION

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